

COMMONWEALTH OF KENTUCKY
NATURAL RESOURCES & ENVIRONMENTAL PROTECTION CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER

APPLICATION FOR PERMIT TO CONSTRUCT ACROSS OR ALONG A STREAM
AND / OR WATER QUALITY CERTIFICATION

Chapter 151 of the Kentucky Revised Statutes requires approval from the Division of Water prior to any construction or other activity in or along a stream that could in any way obstruct flood flows or adversely impact water quality. If the project involves work in a stream, such as bank stabilization, dredging or relocation, you will also need to obtain a 401 Water Quality Certification (WQC) from the Division of Water. This completed form will be forwarded to the Water Quality Branch for WQC processing. The project may not start until all necessary approvals are received from the KDOW. For questions concerning the WQC process, contact the WQC section at 502/564-3410.

If the project will disturb more than 1 acre of soil, you will also need to complete the attached Notice of Intent for Storm Water Discharges, and return both forms to the Floodplain management Section of the KDOW. This general permit will require you to create an implement an erosion control plan for the project.

1. OWNER: Ron Brooks, KY DEPT. OF FISH AND WILDLIFE RESOURCES
Give name of person(s), company, governmental unit, or other owner of proposed project.
MAILING ADDRESS: #1 SPORTSMAN'S LANE
FRANKFORT, KY 40601
TELEPHONE #: (502) 564-7109 ext. 4466 EMAIL: ron.brooks@ky.gov
2. AGENT: BRIAN J. BELCHER, PHD, PE
Give name of person(s) submitting application, if other than owner.
ADDRESS: 106 MISSION COURT, SUITE 101B, FRANKLIN, TN 37067
TELEPHONE #: (615) 794-7771 EMAIL: brian@beavercreekhydrology.com
3. ENGINEER: BRIAN J. BELCHER P.E. NUMBER: 21501
Contact Division of Water if waiver can be granted.
TELEPHONE #: (615) 794-7771 EMAIL: brian@beavercreekhydrology.com
4. DESCRIPTION OF CONSTRUCTION: KY IN-LIEU FEE STREAM MITIGATION PROJECT
Describe the type and purpose of construction and describe stream impact
THIS PROJECT CONSISTS OF STREAM RESTORATION AND ENCHANCEMENT USING NATIVE MATERIALS AND BALANCED CUT/FILL FOR RESHAPING A SINUOUS B4 STREAMTYPE, APPROX. 14.5' WIDE, INSTALATION OF ONE LOW-WATER FORD, GRADE CONTROL STRUCTURES, HABITAT STRUCTURES AND NATIVE RIPARIAN CORRIDOR.
5. COUNTY: KNOX NEAREST COMMUNITY: LONDON
6. USGS QUAD NAME HIMA LATITUDE/LONGITUDE: 37.01017N; 83.87247 W
7. STREAM NAME: CRANES NEST BRANCH WATERSHED SIZE (in acres): 237
8. LINEAR FEET OF STREAM IMPACTED: 2,275 L.F.
9. DIRECTIONS TO SITE: FROM I-75 EXIT 38 (LONDON) TRAVEL EAST ON STATE ROUTE 192; TURN RIGHT ON ROUTE 229 SOUTH; TRAVEL ~12 MI. AND TURN LEFT ON ROUTE 1304; TRAVEL ~3.5 MI. AND TURN LEFT ON ROUTE 1803; TRAVEL ~1.4 MI. NORTH AND TURN RIGHT ON CRANE'S NEST BRANCH ROAD. THE PROJECT IS AT THE END OF CRANE'S NEST BRANCH ROAD.

10. IS ANY PORTION OF THE REQUESTED PROJECT NOW COMPLETE? ☐ Yes ☒ No If yes, identify the completed portion on the drawings you submit and indicate the date activity was completed. DATE: _____
11. ESTIMATED BEGIN CONSTRUCTION DATE: OCTOBER 1, 2009
12. ESTIMATED END CONSTRUCTION DATE: NOVEMBER 30, 2009
13. HAS A PERMIT BEEN RECEIVED FROM THE US ARMY, CORPS of ENGINEERS? ☐ Yes ☒ No If yes, attach a copy of that permit.
14. THE APPLICANT *MUST* ADDRESS PUBLIC NOTICE:

(a) PUBLIC NOTICE HAS BEEN GIVEN FOR THIS PROPOSAL BY THE FOLLOWING MEANS:

- _____ Public notice in newspaper having greatest circulation in area (provide newspaper clipping or affidavit)
- _____ Adjacent property owner(s) affidavits (Contact Division of Water for requirements.)

(b) X I REQUEST WAIVER OF PUBLIC NOTICE BECAUSE:

NATIONWIDE PERMIT 27 AND KY ILF PROGRAM PROJECT
Contact Division of Water for requirements.

15. I HAVE CONTACTED THE FOLLOWING CITY OR COUNTY OFFICIALS CONCERNING THIS PROJECT:

Give name and title of person(s) contacted and provide copy of any approval city or county may have issued.

16. LIST OF ATTACHMENTS: _____

List plans, profiles, or other drawings and data submitted. Attach a copy of a 7.5 minute USGS topographic map clearly showing the project location.

ATTACHMENT A: CONSTRUCTION DRAWINGS

ATTACHMENT B: Phase A Report- 660-C40L-CN01-00 (ENGINEERING REPORT)

17. I, X (owner) CERTIFY THAT THE OWNER OWNS OR HAS EASEMENT RIGHTS ON ALL PROPERTY ON WHICH THIS PROJECT WILL BE LOCATED OR ON WHICH RELATED CONSTRUCTION WILL OCCUR (for dams, this includes the area that would be impounded during the design flood).

18. REMARKS: _____

I hereby request approval for construction across or along a stream as described in this application and any accompanying documents. To the best of my knowledge, all the information provided is true and correct.

SIGNATURE: _____

Owner or Agent sign here. (If signed by Agent, a Power of Attorney should be attached.)

DATE: 8-3-09

SIGNATURE OF LOCAL FLOODPLAIN COORDINATOR: _____

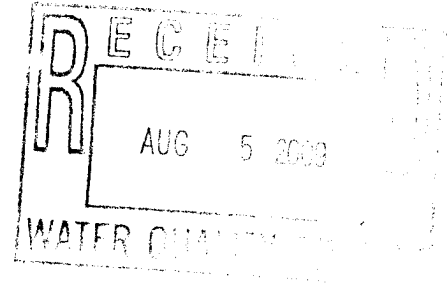
Permit application will be returned to applicant if not properly endorsed by the local floodplain coordinator.

DATE: _____

SUBMIT APPLICATION AND ATTACHMENTS TO:

Floodplain Management Section
Division of Water
14 Reilly Road
Frankfort, KY 40601

**Mitigation Plan
For Cranes Nest Branch
Knox County, Kentucky**



Introduction

The Kentucky Department for Fish and Wildlife Resources (KDFWR) proposes to restore approximately 2275 linear feet of existing degraded stream in Knox County, Kentucky (Exhibit 1). This project is part of KDFWR efforts in utilizing In-Lieu-Fee (FILO) Trust funds to provide stream mitigation as set forth in their 2002 agreement with the U.S. Army Corps of Engineers, Louisville District (Corps).

The stream mitigation credit (ecological lift) derived as a result of the proposed restoration activities on Cranes Nest Branch will be used to offset mitigation required for previous impacts to waters, in the Upper Cumberland River basin, for which in lieu fees were assessed.

Section 1: Goals and Objectives of the Proposed Mitigation

A. Functions & Values

Proposed stream mitigation include restoration of 2176 feet of existing, degraded channel into a more natural channel approximately 2275 feet in length (see accompanying stream channel mitigation plan and design sheet(s)). The focus of the restoration project is to construct a meandering stream with good in-stream habitat and stable stream banks, that conveys the bankfull discharge and sediment supplied, and has the channel-floodplain interaction to the desired recurrence interval. The current stream habitat value, using the EPA Rapid Bioassessment Protocol, is 100. The predicted stream habitat values have been provided in the Stream Success Criteria table. The predicted values represent the habitat improvement targets by which the success of the stream mitigation effort will be measured during the monitoring period. Channel morphology will be restored to lie within the central tendency of natural channels for the valley type and hydrology present, including meander pattern (sinuosity, radius of curvature, wavelength, and meander arc length), riffle-pool morphology, and section geometry (width-depth ratio, section asymmetry at pools, etc.).

The information and guidance provided in the EPA RBP was used to complete the "Habitat Assessment Field Data Sheet - High Gradient Streams" (Data Sheet) for

Cranes Nest Branch. The RBP score was compared to ranges provided by the Louisville District Corps. The pre-project Data Sheets show that Cranes Nest Branch scored relatively low and would be categorized as "marginal". The marginal habitat scores are due to channelization (straightening), has high erosion potential (incised in areas with vertical banks), and little to no forested riparian area. The predicted RBP score for the restored stream (Table 1) is in the "Excellent" range. Post-project Data Sheets will be completed as part of the final monitoring report.

B. Functional Gains

Stream functional gains will be determined by collecting stream habitat data using the EPA Rapid Bioassessment Protocol for the restored stream reach and compare pre-project stream habitat values to the post-project values. Stream functional gains will be credited as the net gain in functions and values, on a linear foot basis, consistent with the protocol used by the Louisville District. Estimated stream credit (ecological lift) for the site is included in Table 1.

Final stream mitigation success will be determined by the Corps and KDOW; based on site conditions at the end of the monitoring period. This information will be provided to KDFWR.

C. Potential Challenges

Specific to this project is the challenge of providing a design that addresses the need to stabilize the streambed and provide a channel that adequately transports the sediment load of the stream. The site is located on private property, so there is a need to address the concerns of the landowner.

The construction of stream restoration projects where channel relocation occurs in close proximity to the existing streams is inherently challenging, due to concerns over maintaining/managing current flows while minimizing excessive sedimentation and erosion. In addition to standard erosion prevention and control BMPs (e.g., silt fencing, erosion control blankets), the use of temporary diversions channels and a "pump around" may be proposed so that stream channel construction is performed "in the dry".

If a drought occurs during the construction or monitoring period, then steps will be taken to ensure proper watering of the riparian zone plantings is performed.

Sufficient remedial and contingency plans and adaptive management are incorporated in the plan to ensure that all likely challenges, such as potential effects from invasive species or stream channel instability, can be quickly addressed during the five year monitoring period. At the end of the five-year monitoring period, if mitigation is only partially successful or unsuccessful, KDFWR will submit a Contingency Plan to the Corps and KDOW or propose to extend the monitoring period beyond five years until such time as the Corps determines the project is successful. The plan or extension of monitoring will not be implemented without prior approval from the Corps and KDOW.

D. Environmental Goals and Objectives

The goal of this project is to restore the stream to a more natural condition by applying appropriate stream restoration principles; resulting in a stable channel that will, over time, neither aggrade or degrade.

Stream restoration on the site is expected to meet the following objectives: (a) to improve in-stream and riparian habitat; (b) to create a natural channel that is in geomorphic equilibrium and exhibits improved channel stability, and (c) to help promote hydrologic connectivity to the floodplain surrounding the restored stream channel.

Section 2: Site Selection

Refer to Phase A Report– 660-C40L-CN01-00.

Section 3: Site Protection

The site is privately owned. The KDFWR and property owners plan to jointly manage the site during the required monitoring period. KDFWR will execute a conservation easement with the owners for the mitigation site to ensure permanent protection of the property.

Section 4: Baseline Information

Refer to Phase A Report– 660-C40L-CN01-00.

Section 5: Estimated Ecological Lift

The Estimated Ecological Lift table (Table 1) indicates the benefit expected as a result of the proposed project, utilizing the Louisville District COE's Eastern Kentucky Protocol (EKP). The EKP calculates Ecological Integrity Units (EIU's) for the existing and proposed conditions of the stream; the difference indicating the resulting benefit or "ecological lift". The "Pre-project" condition and/or quality of the stream is based on assessments of the existing using EPA's Rapid Bioassessment Protocol (RBP). The results of the RBP's identify the quality of the stream; and for purposes of the EKP, its Habitat Integrity Index (HII). Utilizing this index and an indication of water quality (conductivity reading), the EKP calculates an Ecological Integrity Index (EII). Consequently, the Ecological Integrity Units are determined by applying the EII ratio to the length of the expected impact. Cranes Nest Branch, as assessed, was found to be marginal (with an RBP score of 100). The score, as applied to perennial streams, results in an EII of 0.55. The expected impact length is multiplied by the ratio to

provide a final EIU of 1197. For determining the EIU's resulting from the project, a similar approach is used, with the exception being an assumed RBP score based on expected final project results. In the cast of Cranes Nest Branch, the goal of the mitigation project is to achieve a RBP score of 171 or higher; resulting in an "optimal" quality rating for the stream. This would result in an EII of 1.00 to be applied to the final expected length of new stream channel. The final, "Post-project" EIU is 2275; with an ecological lift of 1078 EIU's.

Section 6: Mitigation Work / Implementation Plan:

I. Site Preparation:

A. Plans

KDFWR has developed an integrated plan that would result in the complete restoration of the site's stream. In partnership, the engineering firm Beaver Creek Hydrology designed the stream restoration and collected the necessary stream data using on-site and other data sources.

KDFWR will construct the permitted stream in accordance with the approved plans, and will not make any significant field changes without the prior approval of the Corps and KDOW. KDFWR and/or their consultant will be on-site during the entire construction process and will be supported as needed by a staff ecologist or biologist. During construction, KDFWR and/or their consultant will ensure the use of standard erosion control methods that are applicable to the mitigation site.

Description of plans for the following criteria:

1. Grading – The site will be graded to the dimensions shown on the plans, which include stream gradient, bankfull channel, floodprone area, and hydraulic structures.
2. Hydrologic changes – Temporary hydrologic changes will occur during construction from use of diversion channels and/or "pump around" (the extent of which will be determined by the contractor and engineer in charge). Changes will include opening the new channel to flow and plugging the existing channel as construction progresses in either an upstream or downstream direction. A hydrologic change will result from the use of a more appropriate channel width and from raising the channel bottom above bedrock above and below the undisturbed stream segment on private property.
3. Water control structures – There are no anticipated permanent water control structures. Temporary water control structures may be used to manage flow during construction (i.e., utilizing a "pump around" during construction requiring a temporary damming of the existing channel to cutoff flow for pumping to a point downstream. This operation would be repositioned as necessary while construction progresses).
4. Exotic vegetation control – Exotic vegetation control will involve an initial eradication by use of herbicides. The riparian zone will be limited to no more than 10 percent of

exotic invasive species present during the final vegetation cover survey. Invasive species observed during the monitoring period will be controlled by spot application of herbicides and/or manual removal. The species to be controlled are those indicated as level 1 (Severe Threat) and 2 (Significant Threat) on the list produced by the Kentucky Exotic Pest Plan Council.

5. Erosion control – Geojute erosion control fabric will be installed beginning two feet from the edge of bankfull and extend to the toe of slope of the channel. Silt fencing or other erosion control measures will be constructed, as necessary, along the design channel and riparian corridor and around temporary material stockpiles to prevent the transport of disturbed soils into the design channels. These silt fences and other erosion control methods will be maintained as necessary to ensure their functionality. Other areas will be seeded and mulched as described in detail elsewhere in this document.

6. Bank stabilization – Bank stabilization will be accomplished through the use of erosion control fabric as described above, root wads, and log vanes; as well as rock toe structures along the outside of bends. Grade control structures, in the form of constructed riffles and cross-vanes, are to be utilized to stabilize the streambed.

7. Equipment and procedures to be used – A variety of common equipment and tools will be used as site conditions dictate. Prior to channel construction, the site will be mowed to allow easy access, being especially cautious not to disturb the survey benchmarks established on the site. The channel thalweg will then be laid out in plan form. Stakes with flags will be installed to mark the thalweg and radius points for the design channel. The bankfull channel will then be constructed to the depth and cross section dimensions prescribed in the design. Following the construction of all bankfull design sections, the design channel profile and cross sections will be surveyed and checked against the design values. This process will be repeated until the constructed channel profile and dimensions matches, within an acceptable tolerance, that of the design. Due to the length of the project, it will be necessary to define discrete reaches within the project that can be constructed to prescribed stages before moving on. In this way the project can be constructed while minimizing the amount of flow diversion or pump around, as well as maximizing the efficiency of erosion control and implementation of vegetation. Once this is accomplished, the erosion control blankets and silt fencing will be installed. Riparian vegetation will then be planted.

8. Site access control – The site is protected by the owner against vandalism. Public use and access is not a concern due to the topography, remoteness of the site, and presence of property owners on site. The KDFWR and/or their consultants will monitor access to the site during the construction phase to ensure that damage or vandalism does not occur.

9. Strategy for minimizing soil compaction – Soil compaction will be localized and center around design channels. If necessary, light disking or scarification of planting and

seeding areas will be performed to ensure suitable soil conditions. Additionally, should compaction become an issue, holes for trees and shrubs can be over-excavated and loosely backfilled to facilitate root development.

10. Stream Pattern, Profile, and Dimension – Design stream pattern, profile, and section dimensions were determined by the engineer. These parameters are shown in the plans and based on morphological data and natural stream design concepts.

B. Soils/Substrate

The existing stream substrate consists predominantly of sand with lesser amounts of silt/clay material present. Information on the particle size distribution is found in Refer to Phase A Report– 660-C40L-CN01-00.

C. Hydrology

Refer to Phase A Report– 660-C40L-CN01-00.

D. Planting Plan

KDFWR will restore vegetation to the site. The riparian corridor along the stream will average 25 feet on each side of the channel (easements granted by the property owners limit the zone width). The general plan is as follows:

1. The riparian area - Refer to drawings – 660-C40L-CN01-00.
2. The contractor will determine the source of seeds and plantings. Only native plant species will be planted. KDFWR personnel/or their consultant will inspect the plantings before installation. Annual rye grass may be used in addition to the native seed mix to establish quick cover.
3. All of the planted trees will come from the list in the drawings, and no species will comprise more than 20 percent of the total initial planting. Planting locations or layout are shown on a planting plan detail sheet. They typically will begin at bankfull elevations, or two feet from the edge of stone protection, and extend to the limit of the defined riparian zone. Mostly facultative or wetter species have been selected due to the site being entirely in the floodplain and the soil types present.
4. Transplanting is not proposed for this project. The existing trees are native species, and efforts will be made to leave as many as possible.
5. Expected volunteers species include sycamore, walnut and box elder. This is based on species that currently exist in the area.

E. Exotic and Undesirable Species Control

KDFWR and/or their consultants will ensure that invasive species will not affect the future condition of the restored stream and riparian zone. The species to be controlled are those indicated as level 1 (Severe Threat) and 2 (Significant Threat) on the list

produced by the Kentucky Exotic Pest Plan Council. Efforts to reduce introduction will consist of cleaning equipment before it reaches the site, inspecting labels on seed mixtures and mulch for composition. If exotic vegetation establishes, eradication techniques include spraying or manual/mechanical removal. Monitoring for invasive species will take place during the biannual vegetation conducted on the site.

F. Schedule

Construction associated with restoration of the stream is tentatively scheduled to begin in the winter of 2009, if the necessary permits are received from the Corps and KDOW. Tree seedlings would be planted in the spring of 2010 if construction is completed by the end of winter. The initial monitoring of the site will commence in the first full growing season post initial planting and will consist of data collected during the beginning and end of the growing season. Depending on the completion of construction and the tree planting, monitoring schedules will be adjusted accordingly.

G. Construction Monitoring

KDFWR and/or its consultant will monitor the construction activities to ensure that all aspects of the approved mitigation plan are completed without incident. To accomplish this, KDFWR will require on-site management of the construction personnel by one or more people familiar with the design of the project. These representatives will include the KDFWR Project Manager and their consultants and others familiar with the project that have complete knowledge of the mitigation and design plans and some understanding of soil science, hydrology, botany or plant ecology.

II. As-Built Conditions:

KDFWR will submit a report, including construction documents, to the Corps and KDOW within six (6) weeks of completion of site preparation and planting; describing as-built plans and profiles of the mitigation project, locations of final plantings, structures and other mitigation features, final lengths and areas of restored stream. Separate reports for grading and planting work will be submitted if these are not completed within six weeks of each other. KDFWR will include any deviations from the original plan that will affect the predicted stream credit. Table 1 will be revised based on the "as-builts", reflecting any deviations from the predicted stream credit. This "as-built" credit will be the basis of the annual tracking of the success criteria. The initial planting report will not be considered as a monitoring report.

KDFWR shall also provide topographic maps showing as-built contours for the restored stream and adjacent riparian area. This would entail measurements of stream pattern, profile, and channel dimensions.

Section 7: Success Criteria / Performance Standards

The success criteria/performance standards discussed and shown in Table 2 identify and define the specific criteria for measuring the success of the mitigation effort. The

criteria will be measurable and achievable.

Minimum Success Criteria:

The success criteria for the stream is based on the three primary factors: (1) meeting stream channel geomorphology design characteristics to ensure stream stability and function, (2) achieving predicted habitat assessment scores, and (3) ensuring the adequate establishment of a functional riparian area. The success criteria are shown in Table 2. These criteria are believed adequate to justify expected stream stability and habitat improvements.

Section 8: Monitoring

I. Monitoring Reports: KDFWR will provide an annual report, based on data collected twice per growing season, to the Corps and KDOW by December 31 for each previous year of the 5-year monitoring effort. The annual report will be based on information collected by KDFWR and/or their consultant as described below. The first monitoring report will be completed after the first full growing season following the initial planting of tree seedlings.

Upon submittal of the final annual report, KDFWR will request Corps and KDOW release from further monitoring. The final annual report will include an explanation of how the goals of the mitigation have been met, a discussion of the stream ecosystem's ability to be self-sustaining, and a comparison of the mitigation site's stream both pre- and post-project using the same functional assessment method. An inspection of the site will then be coordinated with KDFWR, their consultants, and the property owners; and conducted by the Corps and KDOW to confirm the successful completion of the mitigation plan. Upon the Corps and KDOW review, and confirmation of the successful completion of the mitigation plan, KDFWR will be released from additional monitoring and reporting requirements.

A. Timing

KDFWR and/or their consultants will conduct biannual vegetation inspections with one inspection occurring in the first month and one in the last month of the growing season for each calendar year. Photographs will be taken of the vegetation monitoring plots to get an early-in-the-year record and observe any new problems. KDFWR and/or their consultants will also make several site inspections at the beginning of the growing season during each year of the monitoring period to monitor hydrology. The vegetation monitoring data will be collected during both early and late season site visits and will be included in the annual monitoring report.

B. Monitoring Methods

KDFWR and/or their consultants will monitor stream hydrologic characteristics and stability as necessary and appropriate to determine if stream success criteria are being met. For riparian vegetation, the following vegetative monitoring procedures and

protocols will be used:

- Four (4), permanent 0.25 acre vegetation monitoring plots will be created within the restored riparian areas, two in the upper reach and two in the lower reach of the project. These vegetative monitoring plots will be monitored bi-annually, during the early and late growing season for the duration of the monitoring period. If the vegetative success criterion is not met, remedial actions will be taken to meet the vegetative success criterion. All proposed vegetative remedial actions will be approved by the Corps and KDOW.
- A center stake will be established to mark the location of each monitoring plot, and photographs will be taken of these plots annually from a point 25 feet away and due west of the center stake.
- The number of planted hardwoods and the number of volunteer hardwoods of targeted species present will be counted within each plot during each growing season of the monitoring period.
- A qualitative vegetation monitoring survey will also occur at the beginning and end of the growing season. This survey will serve to (a) identify the plant species occurring on the site during both the early and late growing season so that a complete vegetation list can be derived, and (b) provide a bi-annual screening for invasive species, so that those species can be addressed or treated as may be necessary at the earliest possible time.

C. Documentation

KDFWR and/or their consultants will document the conditions at the mitigation site and provide a written summary of how the site meets or does not meet the goals and objectives of Section 1 of this plan. The initial report will include a discussion of any deviations from the Mitigation Work/Implementation Plan (Section 6). The following format and sequence will be used in the development of the monitoring report:

1. Soils/substrate – Pebble counts and bar samples will be collected to determine if the size distributions are approximate to those assumed for the design channels.
2. Vegetation – Riparian vegetation conditions observed during the monitoring effort will be identified and compared to pre-project vegetation conditions and to the vegetation success criteria. KDFWR and/or their consultants will assess how the success criteria are being met; including, but not limited to, percent native tree species, maximum percent invasive species, minimum native tree stem density per acre, maximum percent any one tree species, survival rate of planted tree species, ratio of planted tree species vs. volunteer tree species, and percent vegetative cover. KDFWR and/or their consultants will also include a species composition list including both scientific and common names.

3. Hydrology – Hydrologic conditions observed during the monitoring effort will be identified and compared to the hydrologic success criterion. KDFWR and/or their consultants will describe the sources of hydrology (e.g. precipitation, overbank flooding, groundwater) that are or appear to be affecting the site and include information on surface water depth.

4. Channel geomorphology – KDFWR and/or their consultants will describe the as-built profiles, cross sections, in-stream habitat characteristics, and substrate composition. The discussion will related specifically to the Success Criteria (Table 2) and will provide sufficient detail for a reasonable person to judge whether or not the anticipated stream type(s) were restored and that those streams are stable. The restored channels will be visually inspected at least quarterly during the first two years after construction and semi-annually for the remainder of the monitoring period to identify potential signs of instability. Photographs of the stream channels will be taken to document changes in the channels, especially sites where instability may be occurring.

5. Remediation – KDFWR and/or their consultants will describe any remedial measures that will be necessary to ensure successful establishment the restored streams on the site.

D. Responsible Parties

1. Applicant

Kentucky Department for Fish & Wildlife Resources
Attn: Mr. Andy Mowrey
1 Sportsman's Lane
Frankfort, Kentucky 40601
502/564-3400

2. Party Responsible for Oversight of Construction of Mitigation

Kentucky Department for Fish & Wildlife Resources
Attn: Mr. Andy Mowrey
and,

Beaver Creek Hydrology, LLC
106 Mission Court
Suite 101B
Franklin, TN 37067

3. Party Responsible for Mitigation Plan Implementation, Success & Credit/Debit Tracking

Kentucky Department for Fish & Wildlife Resources
Attn: Mr. Andy Mowrey
1 Sportsman's Lane
Frankfort, Kentucky 40601

II. Assessment of Function/Value Replacement: In the annual report, KDFWR and/or their consultants will use the EPA Rapid Bioassessment protocol of high gradient streams to measure stream and riparian habitat improvements and describe those results in the annual report. If a success criterion is not met for all or any portion of the mitigation area in any year, KDFWR and/or their consultants shall also provide an analysis of the cause(s) of failure and any proposed remedial action(s). The annual report will also include photographs of each monitoring plot.

III. Release from Monitoring: Prior to requesting release from monitoring, KDFWR and/or their consultants will conduct a delineation of the mitigation site. The preliminary delineation will be submitted with the final annual monitoring report and will designate the reach and associated riparian zone width restored or enhanced. The Corps and KDOW will then have the opportunity to verify the delineation during a site inspection. If the Corps and KDOW determine the delineation is correct, the boundary will be surveyed, and a certified copy of the final delineation will be provided to the Corps and KDOW. If revisions to the delineation are necessary, the boundary will be remarked during the site inspection and then surveyed, and a certified copy of the final delineation will be provided to the Corps and KDOW.

Section 9: Long Term Management Plan

The stream that is restored and enhanced on the site (including the riparian zone for which credit was given) will be permanently protected and remain undisturbed. The landowner will protect the entire delineated mitigation site through a conservation easement, executed with KDFWR, which permanently protects the mitigation site and significantly restricts the use of the delineated area.

KDFWR will provide funds to permanently mark the boundaries of the mitigation area and place signs stating no mowing, spraying, disturbance, etc., which will include the restored stream and surrounding riparian area. Future management of the site will largely consist of landowner passive management, which will allow the stream and riparian area to develop and evolve naturally.

Section 10: Adaptive Management Plan

KDFWR will take reasonable and appropriate steps to ensure that the stream channel, vegetation, and hydrology are restored on the site in order to achieve the success criteria described above. However, site and other limitations (e.g., engineering considerations and extraordinary flood events) may create situations where stream channel and riparian zone success criteria are not and/or cannot be met fully or in part

on portions of the site. This may be an inevitable outcome of this project. KDFWR recognizes that the Corps and KDOW likely will not give stream credit for those areas that do not meet the vegetative, hydrologic, and stability criteria necessary for the geomorphic, vegetation, and habitat criteria for streams.

The project will be monitored until the Corps and KDOW deem the project is successful. If the objectives of the mitigation plan cannot be met or if a success criterion is not met for any portion of the project in any year, or if the success criteria are not satisfied, KDFWR shall prepare an analysis of the cause of failure. If determined necessary by the Corps and KDOW, KDFWR will propose remedial action to those agencies for pre-approval. KDFWR will then undertake the corrective measures to address or repair the problem(s).

Section 11: Financial Assurances

KDFWR has sufficient funding through the In-Lieu Fee Trust to construct and monitor the mitigation project, and has provided sufficient contingency funds for remedial actions. The property owners have the resources to manage and protect the site in the long-term. The Corps and KDOW hold the applicant, KDFWR, ultimately responsible for project success, including financial assurances.

Table 1. EUI Credit Calculation

<u>RBI Habitat Parameters</u>	<u>Pre-Construction</u>	<u>Maturity Post Const.</u>
Epifaunal substrate	11	20
Embeddedness	10	20
Velocity/depth regime	13	20
Sediment deposit	10	15
Channel flow status	7	5
Channel alteration	6	16
Frequency of riffles	15	15
Bank stability (both banks)	14	20
Vegetative protection (both banks)	10	20
Riparian width (both banks)	4	20
	100	171
Reach Length (feet)	2179	2275
Conductivity μS	36	36
E. KY Protocol EII	0.55	1
E. KY Protocol EIU's	1196.8	2275
Ecological Lift (EUI's)		1078.2

Table 2. Success Criteria

Habitat Assessment Criteria		Pre-project Value		Target RBP score at end of monitoring period
RBP Habitat Assessment Score		100		171
Vegetation Criteria			Design Value	Target value at end of monitoring period
Min. # Total Stems/Acre			400	300
Min. # Dominant/Co-dominant Overstory Trees/Acre			222	180
Max. % Comprised by Any One Species			20	<25
Max. % Non-native Invasive Species			0	<10
Morphology Criteria			Design Value	Target value range (min./max.)
Bankfull Cross-sectional Area (A_{bkt})			10.15	8-12
Bankfull Width (W_{bkt})			14.5 ft	12-16
Width/Depth Ratio			20.62	20-22
Entrenchment Ratio			1.76	1.6-1.8
Bank Height Ratio			1	1-1.2
Bankfull Cross-sectional Area (A_{bkt})			10.35	8-12
Bankfull Width (W_{bkt})			15	12-16
Max. Depth (D_{max})			1.4	1-2
Avg. WS Slope			0.026	0.02-0.03
Ratio: Riffle Slope/Avg. WS slope			1.57	1.4-1.8
Ratio: Pool Slope/Avg. WS slope			0.5	0.5-1
Ratio: Pool-pool Spacing/Bankfull Width (W_{bkt})			3-4	3-4
Stability Criteria				Monitored Condition
Channel Stability Evaluation Rating		Stream Type	Pre-project Rating/Condition	"Good" during every monitored year
		B4	115/Poor	Annual Peak Stage Recorded
Hydrology Criteria				Bankfull event occurs in minimum of 2 of the 5 years monitored
Bankfull stage				

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1. INTRODUCTION

Cranes Nest Branch is a small tributary in the Richland Creek watershed in the Upper Cumberland River basin, which is designated as a conservation area for fish and lamprey species. The current project reach of Cranes Nest Branch is a 2,300-ft headwater stream located on the private property belonging to Mrs. Robin Rosenstiel Jones and Mr. Richard Jones.

Historical stream impacts include mining, deforestation, channelization, agriculture, channel relocation (see photograph on cover), and riparian zone removal. This project entails the restoration (Priority I and Priority II) and enhancement of Cranes Nest Branch in order to improve aquatic habitats, primarily for macroinvertebrates, reduce streambank erosion and stabilize the channel bed. Due to the willingness of the landowners, a restored channel will be constructed throughout the majority of the project area. Priority I restoration involves placement of a new channel at a higher elevation than the existing bed in order to connect to an existing floodplain; Priority II restoration involves excavating a new channel and floodplain at the existing channel grade.

The existing channel is entrenched and extremely sinuous, having formed in a gully controlled by tree growth and soil inclusions, throughout the majority of the project area. The sharp bends are severely eroding. KYFW data provided in the project RFP indicate the Bank Erodibility Hazard Index (BEHI) measured at these failing banks, yielding a score of 42.4, is very high. Within the middle of the project area, a section of the channel has been straightened and used as a road (see Cover photo). As a result, the habitat is dominated by a shallow run with bedrock substrate as the dominant bed feature. Upstream of the straightened reach the channel is formed in a gully, or G streamtype, as shown in Figure 1.

3. HYDROLOGY

Estimates of design surface water discharge values were calculated based on the approach of correlation with geomorphologic features and detailed hydraulic modeling which will be discussed in the following section. Regional regression equations were also used to compare the results in terms of flood frequency (USGS StreamStats), and these calculated values are shown in Table 1.

Table 1. Flood frequency discharge estimates

Return Interval (year)	Discharge (cfs)
2	48
5	81
10	104
25	135
50	158
100	181
500	236

4. HYDRAULICS

The bankfull discharge is the reference flow used for natural channel design and sediment transport analysis. The bankfull discharge for the Cranes Nest project reach was estimated using a combination of the hydrological data listed in Table 1 and a detailed hydraulic model. HEC-GeoRAS and HEC-RAS 4.0 (USACE) were used to build a hydraulic model and to calculate water surface profiles and other flow parameters for a range of steady flow values overlapping the flood frequency values shown in Table 1 and lower flow values ranging to 0.1 cfs. The hydraulic model is based on cross-sectional data obtained from topographic survey and converted to a 1-ft resolution digital elevation model from which a steady-state flow analysis is performed. A total of 109 cross-sections were extracted from the topographic data, located along the solid black lines shown in Figure 6. Model roughness parameters were based on the geomorphic sampling of bed and bank materials and further empirically corrected to include the effects of turbulence and large eddies.

First, the hydraulic model was used to calculate the bankfull discharge to assess the channel capacity. The flow capacity at bankfull discharge is a key design parameter for the natural channel design approach. The bankfull discharge was determined by varying the flow rate in the hydraulic model for the existing conditions geometry until the calculated water surface profiles fit well the field-identified bankfull elevation indicators. This method resulted in a bankfull discharge estimate of 56.4 cfs. The regional correlation based flood frequency discharge values shown in Table 1 indicate that the bankfull discharge has a flood-frequency return interval value slightly greater than 2-years, which is consistent with previous findings for incised, intermittent channels measured in streams across the U.S. (Leopold *et al.* 1964).

5. NATURAL CHANNEL DESIGN AND SEDIMENT TRANSPORT ANALYSIS

The Cranes Nest project reach lies in Valley Type II (Rosgen 1994), which is generally described as relatively stable with moderate slopes and valley floor slopes less than 4% with alluvial or colluvial soils formed from parent materials. "The stream type generally associated with Valley Type II are the 'B' types which are generally stable stream types, with low sediment supply and bed features normally described as "rapids"" (Rosgen 2004). The hydraulic model output indicate that for flood flows Cranes Nest Branch reaches conditions which are nearly critical to critical with Froude numbers, which is consistent with rapid dominated channels. B4 stream types normally develop in stable alluvial fans, colluvial deposits and structurally controlled drainageways. The channel bed of B4 streams is dominated by gravels and is characterized as rapid sections with irregularly spaced scour pools. Woody debris is an important component of fisheries [and macroinvertebrate] habitat where available (Rosgen 2004).

To assist with natural channel design and to verify the bankfull discharge estimate, a regionalized variable analysis was performed by BCH on reference B streamtypes having similar morphology as Cranes Nest and described further in the next subsection.

5.1 Regionalized Variables

A reference discharge value, i.e. the bankfull flow, was established with the aid of field-measured hydraulic geometry acquired by BCH at stable riffle cross sections on-site and in stable reference streams. This method uses the strategy of correlating surface flow hydrology to the hydraulic properties measured or modeled from data collected at nearby sites where field indicators of bankfull elevation are easily identifiable, e.g. floodplains, sediment gradation change, vegetation change, tops of point bars,

Table 2. NCD Model Boundary Conditions

Drainage Area:	0.36 sq mi
Valley Slope:	0.0293 ft/ft
Bankfull Discharge:	56.44 cfs
Bankfull Cross Sectional Area:	10.19 sq ft
Mean Depth Calculation Tolerance:	0.2 ft
Riffle Bed Material D84:	43.24 mm
Riffle Bed Material D50:	10.68 mm
Bar Sample Dmax:	110 mm
Bar Sample D50:	3.2 mm
Entrainment Options: Shields Entrainment Function	

Table 3. NCD Model Output

--Planform Alignment--	
Sinuosity:	1.12
Bankfull Slope:	0.02621
--Riffle Cross Sectional Properties--	
Width to Depth Ratio:	20.62
Entrenchment Ratio:	1.76
Floodprone Width:	25.52 ft
Bankfull Width:	14.5 ft
Bankfull Mean Depth:	0.7 ft
Bankfull Velocity:	5.54 ft/s
Bankfull Hydraulic Radius:	0.64 ft
Bankfull Shear Stress:	1.047 lbs/sq ft
Required Roughness (Manning's n):	0.0323 ft ^(1/6)
Entrainable Particle Size:	94.7 mm
--Rosgen Stream Classification--	
Reference Reach :	B 4/1
Proposed Reach :	B 4/1
Existing Reach :	B 4/1
--Sediment Transport Competency--	
Ratio - Riffle Slope / Bankfull Slope:	1.57
Ratio - D50bed / D50bar:	3.338
Critical Dimensionless Shear Stress (1):	0.0292
Required Mean Depth:	0.66 ft

Bedload sediment transport capacity was estimated using an entrainment function formulated with critical dimensionless shear stress, a method based on empirical data for gravel-bed rivers. The results

of the capacity analysis include the estimated mean depth (at bankfull discharge) required to transport the largest particles measured in sediment deposits within the active channel is 0.7-ft.

5.4 Design of Hydraulic Structures

Hydraulic features at smaller scales than captured by the HEC-RAS model are expected to occur due to the proposed placement of habitat and grade control structures. These types of fluid motions are three-dimensional and not capable of being incorporated into the one-dimensional, steady state HEC-RAS model without drastic simplifying approximations. The hydraulic structures included in this restoration plan are intended to redistribute the local bed shear stress in order to promote sediment transport in the vicinity of the scour pools located at each structure and therefore to provide habitat enhancements in this otherwise degraded project reach. Sediment transport capacity is expected to be increased in the vicinity of the vanes. The hydraulic structures were checked with an empirical design approach appropriate for B4 streamtypes (Rosgen 2008). These structures are made of placed boulders bedded firmly in the existing substrate and protrude no more than approximately 10% of bankfull depth above the channel substrate. Boulder sizing for the structures was calculated using the following relationship developed from empirical data collected by Rosgen in the aforementioned reference:

$$D = 0.1724 \ln(\tau) + 0.6349, \quad (1)$$

where τ is the bankfull shear stress (converted to units of kg/m^2) and D is assumed to mean the equivalent spherical diameter of the minimum boulder size in units of meters. The data used for this model included the influence of hydrodynamic lift due to fully developed turbulence in rough channels at near critical Froude numbers in the ranges of discharge values and slopes consistent with geomorphology and hydraulic conditions at the project site. The HEC-RAS model results indicate that the highest bankfull shear stress τ in the project reach is approximately 1.0 lb/ft^2 , resulting in a minimum boulder size D of 3.0 ft based on the bankfull discharge. We checked this size using the additional design flow model runs for higher flows. The maximum channel shear stresses occur at the 500-year flood and generally range from 1 to 3 lb/ft^2 (4.88 to 14.6 kg/m^2). Assuming Equation (1) is applicable for these larger flows, the diameter of surface boulders D is 3.6 ft in order to withstand the 500-year flood frequency flow.

Recycled gravel and cobble particles excavated from the channel bed and imported from off-site will be placed and compacted around the boulders in all hydraulic structures and bankfull channel limits.

This material, termed “interstitial fill” or “cutoff sill” or “natural bed material” has a particle size calculated using the following equation given in (UDFCD 2008) paragraph 4.4.2.3 for sizing riprap:

$$\frac{VS^{17}}{d_{50}(G_s - 1)} = 4.5, \quad (2)$$

where V is the mean channel velocity for the 100-year flood in units of ft/s, S is the channel slope, d_{50} is the mean rock diameter in units of ft and G_s is the specific gravity of the rock. From the HEC-RAS output, the maximum mean channel velocities in the project reach are on the order of V = 7 ft/s and slope S = 0.022. Using $G_s = 2.5$ equation (2) yields a conservative mean rock size d_{50} of 0.542 ft (6-1/2 inch stone) for the interstitial fill and other coarse channel bed material based on the 100-year flow event. Based on experience this represents the largest size of particles in the size distribution for placed bed material.

The resulting channel alignment and structures sized for the appropriate channel morphology and flow events are shown at locations noted on the Concept Plan (Attachment A). The RIVERMorph design output for cross-vane and other grade control features shown in the Concept Plan, based on the aforementioned Rosgen approach, is provided below in Table 4.

Table 4. Hydraulic Structure Design Results

Bank Height:	1.1 ft
Bankfull Height:	0.7 ft
Shear Stress:	1.047 lbs/sq ft
Near Bank Stress:	1.2 lbs/sq ft
Bankfull Slope:	0.02621 ft/ft
Bankfull Width:	14.5 ft
Min. Radius of Curvature:	72.5 ft
Plan View Vane Angle:	30 deg
Ratio - Rc/Wbkf:	N/A for B4 streamtype (use 3 to 5 for stability)
Vane Spacing:	46.5 ft
Vane Length:	12.6 ft
Minimum Rock Size (Eq. Circle Diameter):	3.0 ft
Vane Slope:	5.6 %

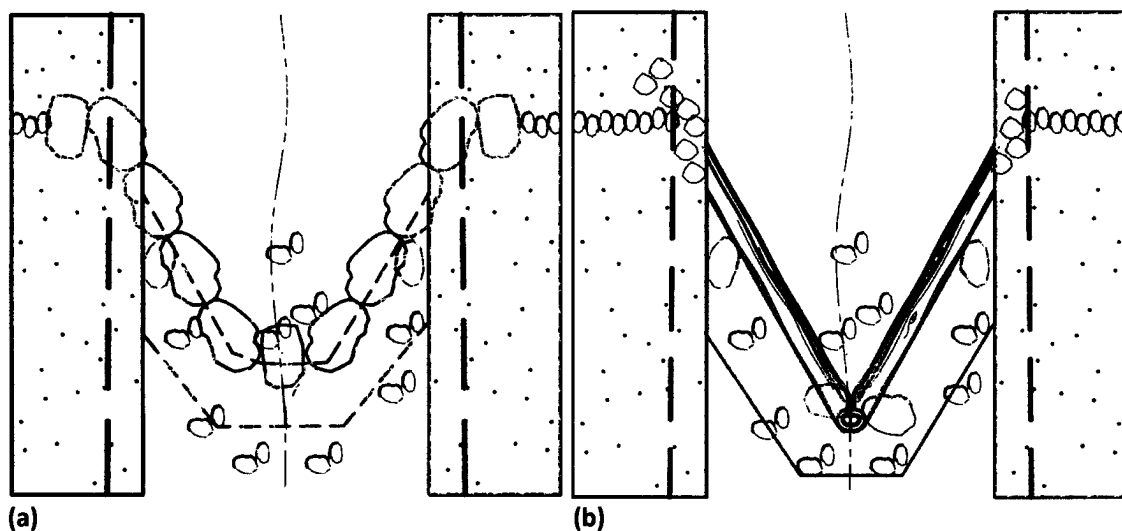


Figure 15. Plan views of boulder cross vane (a) and log wedge vane (b) grade control structures appropriate for the Cranes Nest restoration. The bankfull channel limits and water surface (not shown) are represented with vertical dashed lines and the flow is from the bottom of the page to the top. The hatched symbol represents coir fiber matting placed on the banks, anchored with dead and live stakes. The solid hatch represents filter fabric placed under the structure in the channel bed. The surface boulders (logs) shown in (a,b) each sit firmly on a footing boulder (log, respectively). The surface logs in (b) are shown buried in the channel bed and banks and covered with interstitial fill.

Additional habitat features such as anchored woody debris, e.g. felled logs with attached rootwads, two low-ford crossings and one oxbow pond are shown in the Concept Plan to increase habitat diversity throughout the project area and be consistent with the natural channel design approach.

The Concept Plan based on the NCD output and the proposed conservation area and reforested buffer zone, measured relative to the location of the proposed bankfull channel limits plus a 25-ft offset, is sketched in Attachment A, along with the locations of the major hydraulic and habitat features. Attachment B is the Phase A cost estimate and Attachment C is the Phase A schedule that accompany this Concept Plan.

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Andrews, E. D., and Nankervis, J. M. (1995). *Effective Discharge and the Design of Channel Maintenance Flows for Gravel-Bed Rivers*, AGU.

- Leopold, L. B., Wolman, M. G., and Miller, J. P. (1964). *Fluvial Processes in Geomorphology*, Dover Publications, Inc, New York.
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- UDFCD. (2008). "Drainage Manual." Urban Drainage and Flood Control District, Denver, Colorado.

ATTACHMENT A (SEE FOLLOWING PAGE)

EROSION CONTROL NOTE

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PLANNING FACILITY

- | COMMON NAME | SCIENTIFIC NAME | STATUS |
|--------------------|--------------------------------|------------|
| RAINF ORCHID | <i>Acropora spheeris</i> | Threatened |
| BUTTERFLY ORCHID | <i>Capriphala cyclioides</i> | Threatened |
| AMERICAN EIDER | <i>Polystichum canadense</i> | Threatened |
| ZONE 1 | | |
| SHAW-CESTRUM / OAK | <i>Quercus shumacheri</i> | Threatened |
| SHRUB OAK | <i>Quercus imbricaria</i> | Threatened |
| NORTHERN BIRCH | <i>Betula papyrifera</i> | Threatened |
| PHOENIX PALM | <i>Phoenix rostrata</i> | Threatened |
| YELLOW POPLAR | <i>Liquidambar styraciflua</i> | Threatened |
| AMERICAN ELM | <i>Ulmus americana</i> | Threatened |
| WINTERBERRY | <i>Lonicera xylosteum</i> | Threatened |
| STAGHOLE | <i>Podocarpus niger</i> | Threatened |
| AMERICAN ELM | <i>Ulmus americana</i> | Threatened |
| ZONE 2 | | |
| AMERICAN ELM | <i>Ulmus americana</i> | Threatened |

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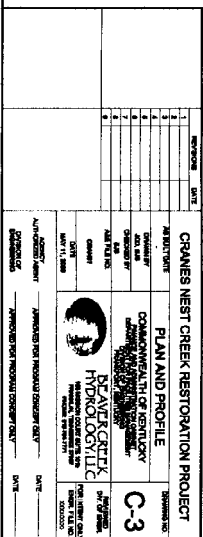
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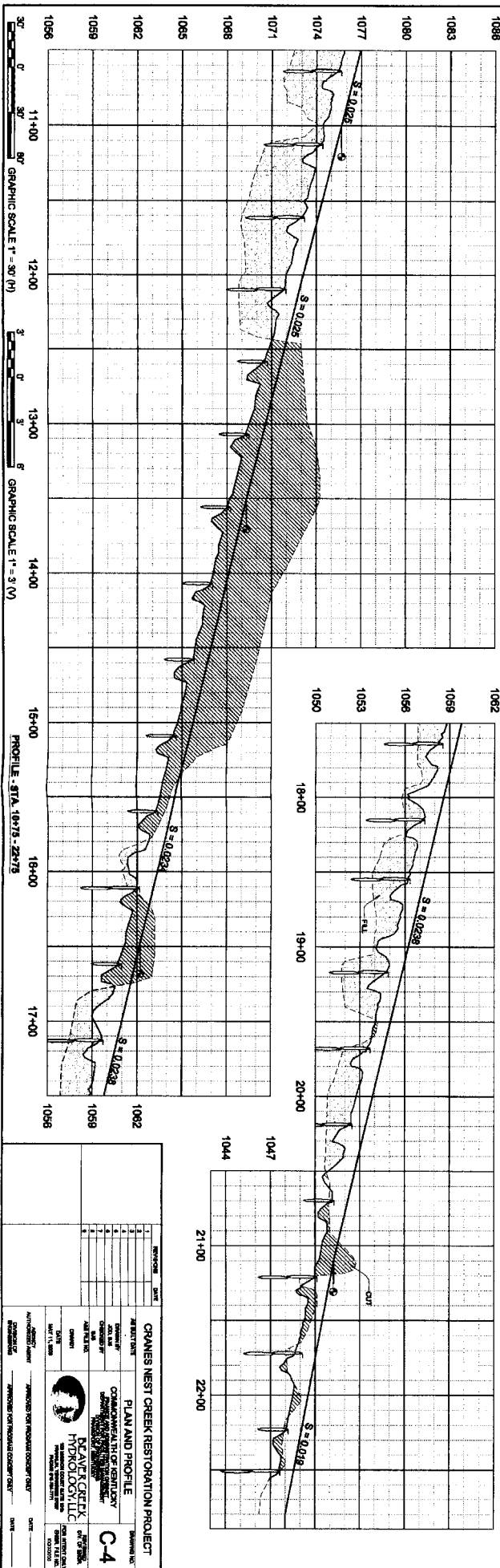
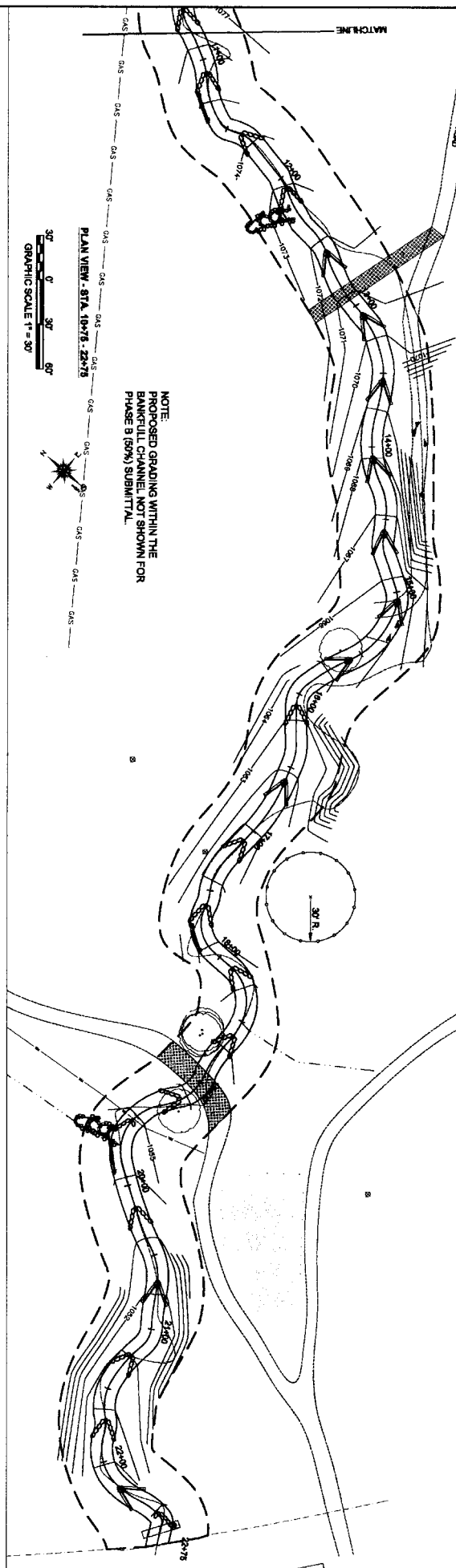
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PROPOSED GRADING WITHIN THE
BANK-FULL CHANNEL NOT SHOWN FOR
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PLAN VIEW - STA. 0+00 - 10+72



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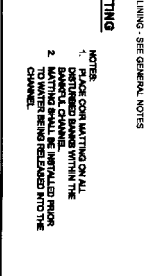
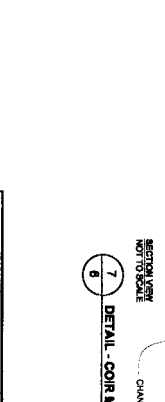
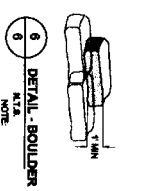
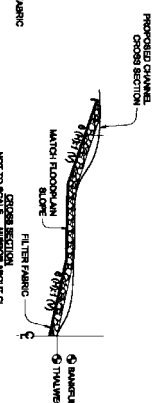
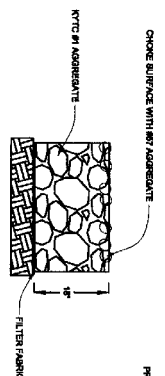
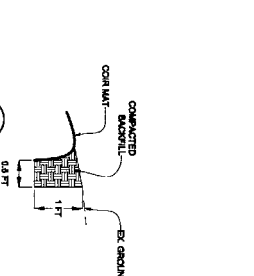
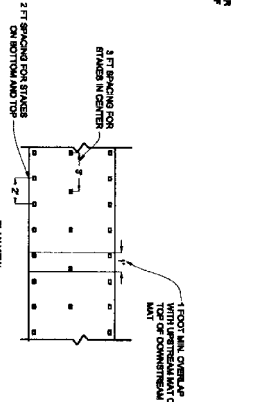
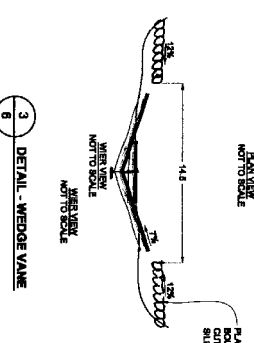
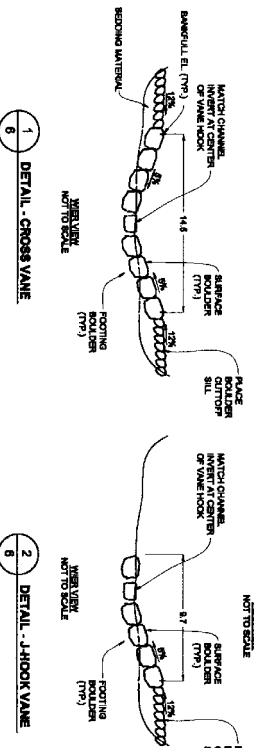
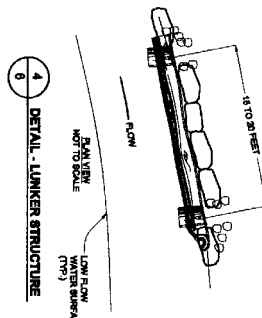
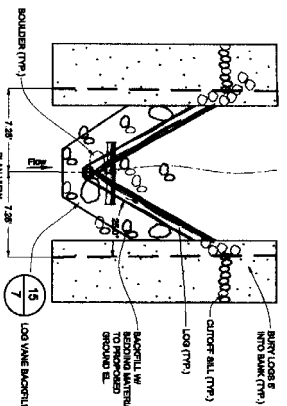
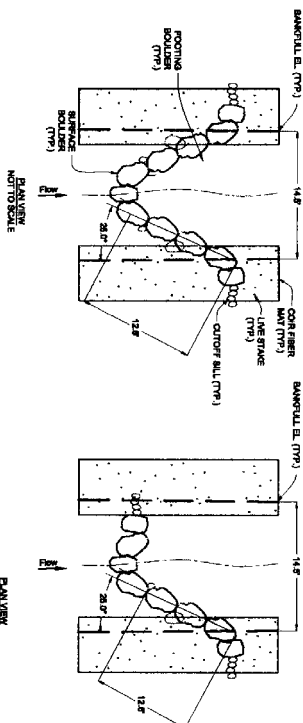
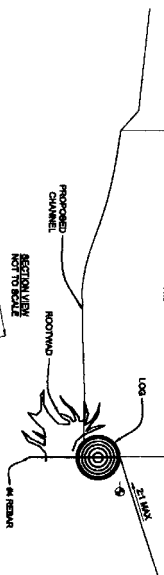
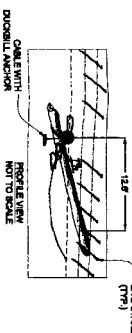
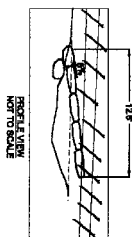
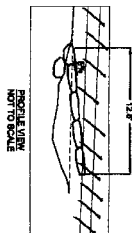


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PROJECT LOCATION	PLAN AND PROFILE
DESIGNED BY	ORGANIZATION OF KENTUCKY
CHECKED BY	ORGANIZATION OF KENTUCKY
DATE	DATE
SCALE	SCALE
PROJECT NO.	PROJECT NO.
DATE	DATE
PROJECT NO.	PROJECT NO.
DATE	DATE

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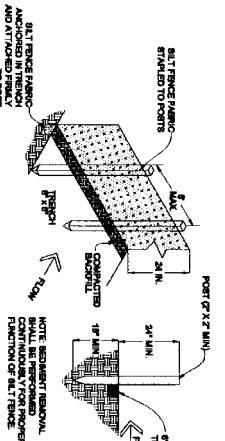
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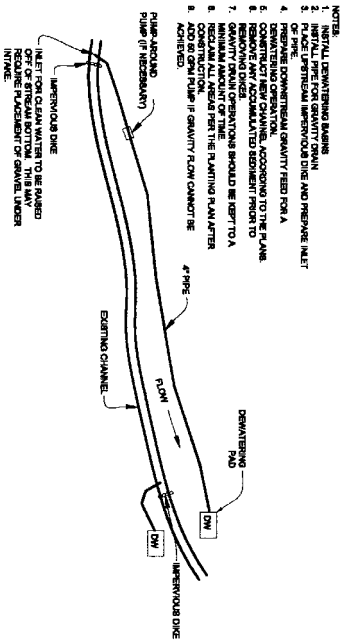
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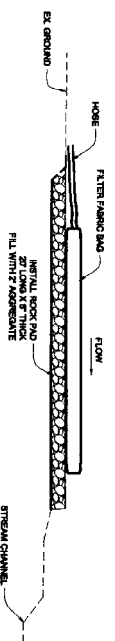
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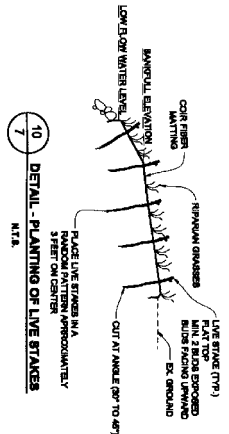
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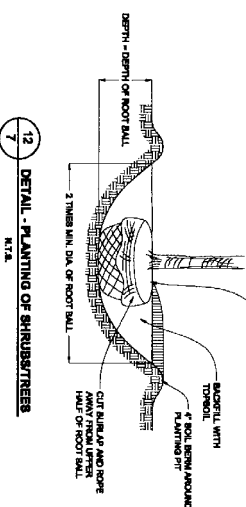
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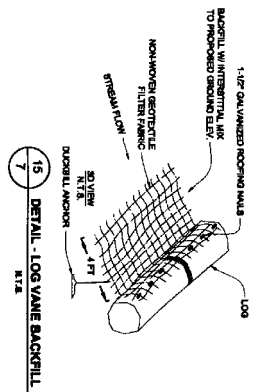
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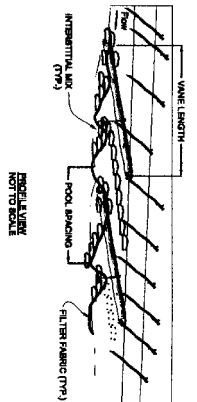
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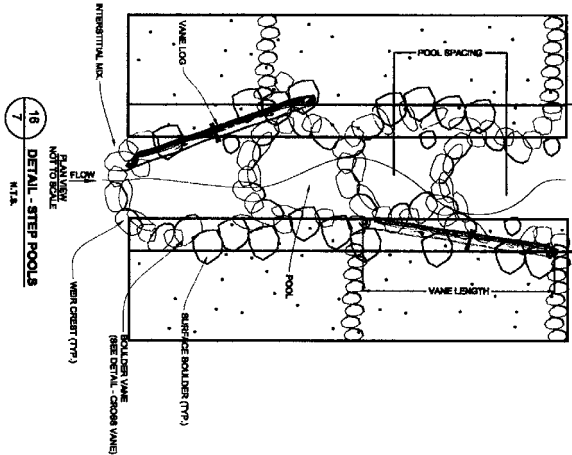
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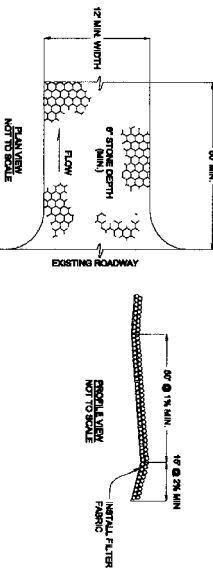
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DETAIL - STABILIZED CONSTRUCTION ENTRANCE
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DETAIL - STEP POOLS
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NO.	DESCRIPTION	DATE
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4	DESIGNED BY	
5	CHECKED BY	
6	DATE	
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16	PROJECT WEBSITE	
17	PROJECT ADDRESS	
18	PROJECT CITY	
19	PROJECT STATE	
20	PROJECT ZIP	

